Serial Number



Vardhaman Capital Private Limited

CIN No.: U67120WB1995PTC068108

CLIENT NAME								
Trading Client Code (for office use only)		DP Client ID (for office use only)	Date of A/c. Opening					
Branch Code / RM / AP	Filling / Group Code	_						

CLIENT REGISTRATION KIT

NSE CAPITAL MARKET	INZ 000204533
NSE FUTURE & OPTION	INZ 000204533
NSE COMMODITY	INZ 000204533
BSE CAPITAL MARKET	INZ 000204533
BSE FUTURE & OPTION	INZ 000204533
NATIONAL SECURITIES DEPOSITORY LTD	IN-DP-657-2021

REGISTERED & CORRESPONDENCE OFFICE

25, Swallow Lane, Wardley House, 2nd Floor, Kolkata - 700001. Phone: 91-33-68202020, e-mail:- info@vardhamancapital.net

 $Compliance\ Officer\ Name,\ E-mail\ \&\ Ph.\ No.:-\ Madan\ Gopal\ Singh: co_vcpl@ymail.com\ ;\ 033-6820\ 2020$

Investor Grievances Email ID:- ig@vardhamancapital.net www.vardhamancapital.co.in



Vardhaman Capital Pvt. Ltd.

Dear Client,

Thank you for choosing us as the preferred platform for your trading & investment needs. We look forward to you becoming one of our valued Client and give us the pleasure of serving you. Vardhaman Capital Private Limited offers you diverse opportunities to trade in various segments of various exchanges (as the case may be).

Apart from Broking, VCPL distributes various other products like Mutual Fund PMS, AIFs, Fixed Deposits, Insurance etc. and thereby becomes a one stop solutions for all your financial needs.

To start with we request you to complete the relevant forms and submit the required documents. We have designed the form for ease of completion with simple instructions at relevant places to guide you along.

Should you face any difficulty in understanding the requirements, please do not hesitate to contact our executive. It shall be our endeavor as always, to provide you truly personalized & satisfying services.

You can also lodge your grievances with SEBI at https:// scores.sebi.gov.in. For any queries, feedback or assistance,

please contact SEBI office or toll free Helpline at 1800-22-7575 / 1800-266-7575

Filling complaints on SCORES - Easy & Quick

- 1. Register on SCORES portal
- 2. Mandatory details for filling complaints on SCORES Name, PAN, Address, Mobile Number, E-mail ID
- 3. Benefits Effective Communication, Speedy redressal of the grievances

PLEASE READ "VCPL" AS "VARDHAMAN CAPITAL PRIVATE LIMITED" WHERE EVER IT APPEARS. Compliance Officer Name, Tel. No. & Email ID: Mr. Madan Gopal Singh. 033 68202020. co_vcpl@ymail.com CEO Name, Tel. No. & Email ID: Mr. Anil Kumar Khandelwal.033 68202020. anil@vardhamancapital.net

SEE	BI REGISTRATION NUMBERS		GRIEVANCES				
NSE - CM		For any grievance/dispute please contact VCPL at the					
NSE - F&O		above address or email us at Investor Grievance Email ID					
NSE COMM	INIZ 000204522	ig@vardhamancapital.net and call at 6820 2020 In case not satisfied with the response,					
NSE - SLBM	INZ 000204533	Please contact the concerned exchange(s)					
BSE - CM		NSE	ignse@nse.co.in	022-2659 8190			
BSE - F&O		BSE	ig@bseindia.com	022-2272 1233			

The Client must ens	The Client must ensure the following:						
1. Proof of Identity (POI)	 Copy of PAN Card is mandatory for all applicants (except for applicants residing in the state of Sikkim) If Name/Photo/Signature on Pan Card is not clear, then submit additional POI 						
2. Proof of Address (POA)	 Copy of Aadhar Card is mandatory for all Individual applicants & Authorised Signatories in case of Non-Individuals Address in proof should match with the address given in KYC form If correspondence & permanent address are different, then proofs for both should be submitted Office/Business/Shop Address is not acceptable as permanent address in case of individual In case of individual- POA in name of spouse may be accepted. In such case proof to establish relationship such as Ration Card, Passport, and Marriage Certificate should be provided 						
3. Bank + MICR/IFS code Proof	 Proof should contain IFS Code (11 digits)/MICR Code (9 digits) of Bank If name is not pre-printed on cheque - then submit additional proof containing the Bank A/c number and Name of Client 						
4. Income Proof	Mandatory if you wish to trade in Derivatives (F&O/Commodity) segments						
5. Demat A/c Proof	Not required if you are opening new Demat A/c						

► PROOF OF FINANCIAL DETAILS, any one (for clients who wish to trade in F&O)

- 1. Copy of Income Tax Return 2. Copy of Annual Accounts 3. Copy of Form 16 (Salary Certificate)
- 4. Networth Certificate 5. Demat Holding Statement 6. Bank Statement for last 6 months

► PROOF FOR EXISTING DEMAT ACCOUNT HOLDERS

- A. DP Statement B. Client Master Report (CMR)
- 1. Clients name & DP A/c No. on the proof of DP should match with that mentioned in the account opening form.
- 2. DP statement should clearly show DP ID & Client ID.

For E-Broking clients, Demat A/c should be opened with VCPL only with DDPI.

► PROOFS FOR NRI (NON RESIDENT INDIAN)

- 1. PAN card.
- 2. Valid Passport / PIO Card / OCI card as Proof of Identity. Proof of Foreign Address and Indian Address (if any).
- 3. PIS Bank A/c should be either Repatriable Bank A/c for NRE OR Non-Repartriable A/c for NRO status.
- 4. Proof of Demat Account should be only with NRI status.
- 5. Permission for dealing in securities from Authorized Dealer (Bank)/RBI.

► TO AVOID OBJECTION AND DELAYS:

- 1. The A/c opening form should be filled in BLACK INK and CAPITAL LETTERS ONLY and do have:
 - a. Clients Name and Signature at all the required places.
 - b. Joint Holders/Witness signatures at the required places.
 - c. N.A. mentioned at all places not applicable to the client.
- 2. White ink is not allowed anywhere on the form. All corrections need to be countersigned with full signature.
- 3. All proofs, photographs and signature on the form should be clearly visible and should be self attested by the client.
- 4. In case of DP account with joint holders, KYC Application Form (KRA Form) & all the proofs (PAN card and address proof) should be provided for Second & Third Holder also.

➤ SIGNATURE MARKINGS

Signature of the Client/First or Sole Holder.

Signature of Nominee/Guardian/Introducer/Second Holder/Third Holder/Witness

Signature of VCPL Authorized Signatory/The Participant (For office use)

www.vardhamancapital.co.in

	V.	ARDHAMAN CAPITAL	PRIVATE LIMITED	www.vardhamancapital.co.i
Address Line 1*	Туре*	Residential / Business	Residential / Business	Registered Office Unspecified
Line 2				
Line 3			City / Town /	Village*
District*		Pin/Post Code *	State / U.T C	ode *
ISO 3166 Country	Code*	(For	r State/U.T Code - please refer Page N	√0.10)
4. CONTAC	T DETAILS (All con	nmunications will be sent to Mo	obile number/Email-ID pro	ovided) (Please refer instruction C at Page 6)
Tel. (Off)		Tel. (Res)		Mobile — — — — — — — — — — — — — — — — — — —
Email ID				
5. REMAR	(S (If any)			
6. APPLICANT	DECLARATION			
•		irnished above are true and corre		
		ndertake to inform you of any clove information is found to be fall		
	•	m aware that I may be held liable	I	
•	ent to receiving informabove registered num	mation from Central KYC Registry	through SMS /	(Signature / Thumb Impression)
	_		~ s:	anature / Thumb Improcessor of Applicant
Date D D M	M YYYY	Place	Si	gnature / Thumb Impression of Applicant
7. ATTESTATIO	ON / FOR OFFICE	USE ONLY		_
Documents Receiv	red Certified Cop	pies E-KYC data received fron	n UIDAI Data received	from Offline verification Digital KYC Proces
	Equivalent e-	-document Video Based KYC		
IN-PERS	ON VERIFICATION (IF	PV) CARRIED OUT BY		INSTITUTION DETAILS
IPV Date	DD MM	Y	Name VARDHAMAN	CAPITAL PRIVATE LIMITED
Emp. Name			Code IN0056	
Emp. Code				
Emp. Designation				
Emp. Branch				
1 1 1 1 1			1	
•	list / Guidelines for filli uidelines on filling Pers	ing Legal Entity / Other than Individua sonal Details' section	als KYC Application Form	
1. Name: Th	e name should match th			ch the application is liable to be rejected.
B) Clarification/G	uidelines on filling 'Curi	rent Address details' section		
		tility bill, etc. or self declaration, the on the constitution of		
		e will not be mandatory for Overseas to be selected. In case of online E-KYC		cted.
	3, one of I, II, III and IV	is to be selected. In case of online E-	KYC authentication, II is to be se	elected.
Documer	t Code		Description	
02 Propert	or Municipal tax receipt.			
04 Letter o underta	allotment of accommoda kings, scheduled comme	ation from employer issued by State Gov	ernment or Central Government D	or Public Sector Undertakings, if they contain the address. Departments, statutory or regulatory bodies, public secto cence agreements with such employers allotting officia
Regulated Er	iodation. tity (RE) shall redact (first	: 8 digits) of the Aadhaar number from Aa	adhaar related data and document	ts such as proof of possession of Aadhaar, while uploading
documents i	ssued to the digital locke	r account of the client as per rule 9 of t		such document with its valid digital signature including ervation and Retention of Information by Intermediaries
9. 'Digital KYC p		out as stipulated in the PML Rules, 2005.	as been carried out suggestions	or a client and client wants to provide a current address,
different fror		lentity information available in the Centra		or a chefit and chefit wants to provide a current address.
1. Please menti		and 10 digit mobile number (e.g. for Indi	an mobile number mention 91-99	9999999)
D) Clarification / Gui	delines on filling 'Related number of related person,	Person details' section.		
E) Clarification on M	linor	rs above 10 years of age for opening of ba	nk account only	
		vailable for minor above 10 years of age,		rdian) is to be uploaded.

VARDHAMAN CAPITAL PRIVATE LIMITED

www.vardhamancapital.co.in

PART I - KNOW YOUR CLIENT (KYC) APPLICATION FORM

(FOR NON INDIVIDUALS ONLY)

REGISTERED & CORPORATE OFFICE: 25 SWALLOW LANE WARDLEY HOUSE 2ND FLOOR KOLKATA - 700001 TEL.: +91 33 6820 2020

Email: info@vardhamancapital.net



TAGE FILL THIS FORM IN FINGURAL AND IN BLOCK LETTERS

	PLEASE FILL THIS FORM IN ENGLI	SH AND IN BLOCK LETTERS.					
Α	IDENTITY DETAILS						
	Name of the Applicant						
	Date of Incorporation Place of Incorporation	F Comm.of Business M M Y Y Y Y Y Y					
	PAN	Regn. No.	Please affix your				
	Status (Please Tick any	Bank	☐ Charities ☐ Defense Estb. ☐ FII recent passport size photograph and sign across it				
	one) Public Ltd. Co.						
В	ADDRESS DETAILS						
	Correspondence Address						
	City/Town/Village		Pin Code				
	State		Country				
	Specify the Proof of Address Su	ubmitted for Corres. Address					
	Tel. (Off.)	Mobile No.					
	Tel. (Res.)						
	Email ID						
	Registered Address (If different from above)		Pin Code Pin Code Pin Code Country Pin Code Pin Co				
	City/Town/Village		Pin Code				
	State		Country				
>	DECLARATION						
	I/We hereby declare that the details fur- correct to the best of my/our known undertake to inform you of any chang case any of the above information is for misleading or misrepresenting, I/we able held liable for it.	Signature with Stamp					
	Place:	Name 8	& Signature of The Authorised Signatory				
ONLY	IPV Details:	(DD/MM/YYYY	Name of the Auth. Signatory				
OFFICE USE C	☐ Originals Verified & Self — Attested Documents	Employee Name	✓ Signature of the Auth. Signatory Date				
OFF	Copies Received	Employee Signature	Date				
FOR		Employee Designation	Seal / Stamp of the Intermediary				
		3					

С	OTHER DETAILS FOR	NON INDIVIDUALS ONLY	
	Details of Promoters/Partners/Karta/Trustees & Whole Time Directors forming partners	t of KYC application Form	_
1	Name		
	Relationship with Applicant (i.e. promoters, whole time directors etc.) Residential/ Registered	PHOTOGRAPH Please affix your	
ORY	Address City/Town/Village PIN PIN	recent passport size photograph	
SIGNATORY	State Country Tel. (O) Mobile No.	and sign across it	
1st S	PAN Equity % PSR Ownership Stake	Ø	
	Aadhar Number, If Any		
	DIN No. i.e Whole time Directors Proof of Identity (POI)		
	Proof of Address (POA)	Signature	
2	Name		
	Relationship with Applicant (i.e. promoters, whole time directors etc.) Date of Birth D D M M Y Y Y Y	PHOTOGRAPH	
RY	Residential/ Registered Address	Please affix your recent passport	AN CAPITAL PRIVATE LIMITED
TO	City/Town/Village PIN PIN	size photograph and sign across it	131
SIGNATORY	State Country	and sign across it	N V
	Tel. (O) Mobile No. Equity %		L PR
2 nd	PAN Equity % PSR Ownership Stake Aadhar Number, If Any	Æ	APITA
	DIN No. i.e Whole time Directors		S
	Proof of Identity (POI)		MA
	Proof of Address (POA)	Signature	VARDHAM
3	Name		\ \ \ \
	Relationship with Applicant (i.e. promoters, whole time directors etc.) Date of Birth D D M M Y Y Y Y	PHOTOGRAPH	
~	Residential/ Registered Address	Please affix your recent passport	
OR	City/Town/Village PIN PIN	size photograph	
SIGNATORY	State Country	and sign across it	
SIG	Tel. (O) Mobile No.		
3rd	PAN Equity % PSR Ownership Stake	Ø	
	DIN No. i.e Whole time Directors		
	Proof of Identity (POI)		
	Proof of Address (POA)	Signature	
	Name of the Auth. Signatory ✓ Signature of the Auth. Signato	rv Date	
	Note: In case of more than three authorised signatories, please submit all the required details in a separ		

	PART II - TRADING & DEMAT ACCOUNT RELATED DETAILS (FOR INDIVIDUALS & NON INDIVIDUALS)						
Α	BANK ACCOUNT D	ETAILS					
		1 st Account/Primary	2 nd A	ccount	3 rd Account		
	Bank Name						
	Branch Name & Address						
	Bank Account No.						
	Account Type (Saving/Current/Others In case of NRI/NRE/NRO)						
	MICR No.						
	IFSC Code						
В	DEPOSITORY ACCO	OUNT DETAILS					
	DP Name						
	CDSL/NSDL						
	DP ID						
	Beneficiary ID (BO ID)						
	Beneficiary Name 1						
	Beneficiary Name 2						
	Beneficiary Name 3				Š		
С	TRADING PREFERE	NCES					
	Please sign in the you.	relevant boxes where you wi	ish to trade. Pl	ease strike off th	ne segment not chosen by		
	Exchanges						
	All Segments	Cash	F&O	NSE-SLBM	NSE-COMM		
	NSE & BSE						
	If you do not wish	to trade in any of segments	please menti	 on here			

>	PAST ACTIONS							
Details of any action/proceedings initiated/pending/taken by SEBI/Stock exchange/any or against the applicant/constituent or its Partners/promoters/whole time directors/authoric charge of dealing in securities during the last 3 years:								
	Are You Member Of Any St	tock Exchange?	YN	Please	Specify			
>	DEALINGS THROUGH AU	THORIZED PERSO	ON OF O	THER ST	OCK BROKE	RS		
	If client is dealing through the AP, provide the following details:							
	AP Name				Exchange Reg	n. No.		
	Registered Office Address							
	Phone			Fax				
	Website							
	WHETHER DEALING WIT (If case dealing with multip				f all)			
	Name of Stock Broker	,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		Client Code			
	Name of AP, If Any				Exchange			
	Details of Disputes/Dues P	ending from/to s	uch Stocl	k Broker	/AP:			
>	ADDITIONAL DETAILS							
	GST Registration Details							
	Whether You Wish to Rece	eive Physical Cont	ract Note	e or Elec	tronic Contra	ct Note (ECN) (Please Spe	ecify):
	Specify Your Email ID, If Ap	oplicable						
	Whether You Wish to Avail	l of the Facility of	Internet	Trading	/Wireless Tec	hnology	(Please Specify)	□ Yes
	Number of Years of Investr	ment/Trading Exp	erience					
	Any Other Information							
>	INTRODUCER DETAILS (C	PTIONAL)						
	Name of the Introducer	Surnam	е		Name		Middle Name	
	Status of the Introducer	☐ Authorized		□ Emp	•	ecify)		
	Address of the Introducer				<u> </u>			
	Mobile/Phone No. of the Introducer				si Si	gnature	of the Introduce	r

												DP	ID: I	N303	212
>	DEMAT ACCOUN	IT OPENIN	G FORM		Client ID):									
	I/WE REQUEST YO (PLEASE FILL ALL THI					-	IR NA Date:		AS PE	RTHE	FOLL	OWIN Y	IG DE	TAIL:	S:
>	TYPE OF ACCOU	PE OF ACCOUNT													
	STATUS	SUB-STAT					JS								
	Individual		ividual Resi			vidual Dire					dual Pr				
	NRI	☐ NR	I-Repatriab	le	☐ NRI	-Non Repa	triabl	е		Other	(Ple	ase Sp	ecify)		
	Foreign National	☐ For	eign Nation	ıal	Qua	lified Fore	ign In	vestor	·						
	Non-Individual	_	Body Corporate □ Trust □ Bank □ FI □ Mutual Fund □ CN □ Qualified Foreign Investor □ Margin □ HUF □ Other (Please Specify)] CM						
>	DETAILS OF ACC	OUNT HOL	DER(S)												
	Name of Colo/Fin	-* !!alala													
	Name of Sole/Fire	st Holder				PAN	J								
	Name of Second	Holder				DAA						1			
						PAN	N .								\dashv
	Name of Third Ho	older				PAN	.1					1			-
	* Please provide a se	parate KYC A	pplication F	orm (KR	A Form) for			rs							
\	For Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the natural persons, the name & PAN of Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the natural persons, the name & PAN of Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the natural persons, the name & PAN of Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the natural persons, the name & PAN of Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the natural persons, the name & PAN of Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the natural persons, the name & PAN of Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the natural persons, the name & PAN of Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the natural persons, and the natural persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the natural persons, and the natural persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the natural persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the natural persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the natural persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the natural persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the natural persons (AOP), Partnership Firm, Unregistered Trust, etc., although the natural persons (AOP), etc., although the natural persons (AOP), etc., although the natural persons (AOP), etc., although the natural persons														
	Name					PAN	J								
>	IN CASE OF NRIS	s/FOREIGN	NATION	IALS/F	IIs/Others	s (as ma	y be	appli	cabl	e)					
	RBI Approval Ref.	No.	No.				RBI Approval Date								
	SEBI Regn. No. (f	or FIIs)													
>	STANDING INST	RUCTIONS													
	I/We authorise yo	ou to receiv	e credits	automa	atically int	o my/ou	ır acc	count	:			Yes		□ No	
	Account to be op	erated thro	ugh DDPI									Yes		□No	
	SMS Alert Facility [Mandatory if you a	vo sivina Do	war of Att	ornov / / F	20.41	Sole	/First Holder				Yes		□ No		
	Ensure that the mo				•	Seco	ond Holder				Yes		□No		
	Application Form]						d Ho	lder				Yes		□No	
	MODE OF RECEIVING			-		1, 000			•	r BSDA	_	Yes	_ _	□No	
	Physical Form Electronic Form [Read Note 5 and ensure that emails are considered by the constraints of the c											-			
	MODE OF RECEIVING			BLIGATIO	NS (TICK A	NY ONE)				Form		Elect			
	MODE OF RECEIVING	Yes No Saral Account Yes No P				ļL	Phy	sical	Form		Elect	ronic	Forr	n	
	Auto Pledge 🗌 Y				Ро	sitive	Coi	nfirma	tion	☐ Ye	es [□ No			
>	GUARDIAN DETA														
	[For account of a m			tion Fo	rms must b	e filled i.	e. on	e for	the g	guardia	an and	l anot	her f	or the	2
	minor (to be signed Guardian Name	a by guardia	'')]												\dashv
	PAN No.														=
	Relationship of Gu	uardian wit	h Minor												\exists
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>	MODE OF OPERATION FOR SOLE/FIRST HOLDER [For Non-Individuals] (In case of joint holdings, all the holders must si								
	☐ Any One Singly		\square Jointly						
	☐ As per Resolution		☐ Other (Ple	ase Specify)					
>	CLEARING MEMBERS DETAILS (to	be filled up by Cle	earing Members	only)					
	Name of Stock Exchange								
	Name of Clearing Corporation/Clearing House								
	Clearing Member ID								
	SEBI Registration Number								
	Trade Name								
	CM-BP-ID (To Be Filled Up By Partic	ipant)							
>	DECLARATION			FOR DEMAT ACCOUNT	_				
	read by me/us and I/we have understood the to time for such accounts. I/We hereby described by the such accounts and I/we undertake to is found to be false or untrue or misleading or resident account, I/we also declare that I/we the receipt of copy of the document, "Rights Non-Individuals-Authorised Signatories (Endo	eclare that the details o inform you of any char or misrepresenting, I am have complied and will and Obligations of the E	furnished above are nges therein, immedia /we are aware that I/I continue to comply valenficial Owner and	true and correct to the best of my/our ately. In case any of the above information we may be held liable for it. In case of non-vith FEMA regulations. I/We acknowledge Depository Participant".					
	Sole/First Holder/or Guardian (In case of minor) or First Signatory	Na	me	Signature	FIIMIT				
	Second Holder or Second Signatory	Na	me	Signature	PRIVAT				
	Third Holder or Third Signatory	Na	me	Signature	CAPITAL				
>	NOTES				Z				
	 All communication shall be sent at Sole/First holder only. For Non-Individual- In case of additiona annexures should be attached to the app 3. Thumb impressions and signatures other or any of the other language not containe of the Constitution of India must be attes a Notary Public or a Special Executive Ma. Instructions related to nomination, are at I. The nomination can be made only beneficiary owner accounts on their of jointly. Non-individuals including secorporate, partnership firm, karta of Hinholder of power of attorney cannot nominated jointly all joint holders will sign then II. A minor can be nominated. In that address of the Guardian of the minoprovided by the beneficial owner. III. The Nominee shall not be a trust, soo partnership firm, karta of Hindu Undivided Attorney holder. A non-resident India subject to the exchange controls in force, 	I signatures, separate lication form. Than English or Hindi ed in the 8th Schedule ted by a Magistrate or gistrate. Is below: I y individuals holding own behalf singly or ociety, trust, body du Undivided Family, nate. If the account is omination form. I event, the name and or nominee shall be ciety, body corporate, ed Family or a power	V. Transfer of secur discharge by the d legal heir. VI. The cancellatindividuals only how own behalf singly of the original nomin trust, body corpo Undivided Family, he nomination. If jointly, all joint hold VII. On cancellation stand rescinded an obligation to transfer. 5. For receiving State of the email account of the email account of the email address has a legal to the email address has	comptly inform the Participant if the	VARDHAM				

account. Similarly, the nomination in respect of the securities

>	OTHER DETAILS FOR INDIVIDUAL ONLY						
	Occupation 1st Holder Private Sector Public Sector Govt. Service Business Agriculturist Professional Others Others						
	(Please tick any one) Retired Housewife Student Professional Others (Please Specify)						
INT	Gross Annual Income Details: Income Range per Annum (Please Tick Any One) Below ₹ 1 Lacs □₹ 1-5 Lacs □₹ 5-10 Lacs □₹ 10-25 Lacs □ More than ₹ 25 Lacs						
DEMAT ACCOUNT	Occupation 2nd Holder (Please tick any one) Retired Housewife Student Professional Others (Please Specify)						
IAT /							
& DEN	Gross Annual Income Details: Income Range per Annum (Please Tick Any One) □ Below ₹ 1 Lacs □ ₹ 1-5 Lacs □ ₹ 5-10 Lacs □ ₹ 10-25 Lacs □ More than ₹ 25 Lacs						
	Occupation 3rd Holder (Please tick any one) Retired Housewife Student Professional Others (Please Specify)						
FOR TRADING	Gross Annual Income Details: Income Range per Annum (Please Tick Any One) Below ₹ 1 Lacs □₹ 1-5 Lacs □₹ 5-10 Lacs □₹ 10-25 Lacs □More than ₹ 25 Lacs						
ВОТН	Please Tick, If Applicable: Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP)						
	Any Other Information						
	OTHER DETAILS FOR NON INDIVIDUAL ONLY						
	Income Details Please Specify: Income Range Per Annum □ Below ₹ 20 Lacs □ ₹ 20-50 Lacs □ ₹ 50 Lacs - 1 Crore □ Above ₹ 1 Crore						
	Networth Amount (₹) As on date (Networth should not be older than 1 year)						
	Please Tick, if Applicable, for Any of Your Authorized Signatories/Promoters/Partners/Karta/ Politically Exposed Person (PEP)						
	Trustees/Whole Time Directors: Related to a Politically Exposed Person (PEP)						
	Any Other Information						
<u> </u>	DECLARATION FOR TRADING ACCOUNT						
	1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/we am/are aware that I/we may be held liable for it.						
	2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.						
	3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.						
	Place						
	Signature of the Client/(All) Authorized Signatory(ies)						

a) Refusal of Orders for Penny Stocks:

"VCPL" allows their client to deal in various compulsorily dematerialized stocks listed on designated stock exchanges. However, VCPL may at anytime at its sole discretion prohibit or restrict the clients' dealing or refuse completely/partially acceptance of the orders of the client for various reasons including but not limited to the trading in penny stocks. Penny stock shall include any illiquid stocks as declared by the stock exchanges and/or illiquid options and/or dealing in far months options and/or highly speculative stocks and/or stocks having low market capitalization and/or securities which are not in dematerialized form and/or securities which are restricted/ not permitted by the stock exchanges/SEBI/VCPL.

VCPL, however, may allow solely on its own discretion, acceptance of orders of the client in penny stocks. Prior to acceptance of such orders, VCPL reserves the right to ask the client for compulsory settlement / pay full upfront margin/ delivery of securities for settlement. It is known that trading in penny stocks are highly risky and that any financial obligations/ losses thus aroused will be borne exclusively by the client alone. VCPL shall not be liable for any losses caused due to any delay in execution of such orders or any other orders/ enhancement of the limit/ limitation on order quantity/ any unexpected technical fault in the trading system. By means of internal control, VCPL may restrict such orders on Internet Based Trading terminals facilitated to the client and/or any dealer terminals operated at designated branches.

Client shall indemnify and keep indemnified the VCPL or its directors or its employees from and against any loss, claims, liabilities, obligations, damages, deficiencies, actions, suits, proceedings or liability suffered or incurred or fastened due to any partial/complete refusal and/or delay/failure in execution of the client orders in penny stocks, clients' inability to use internet based trading terminals, limit enhancement and/or by incomplete information provided by the client in relation to trade in penny stocks.

b) Setting up client's exposure limits

Setting up the client's exposure limit is subject to internal control mechanism of the VCPL where client is provided collectively a variable trading limit for placing the orders in various segments of exchanges. Normally the client exposure limit is calculated by adding the available ledger balance, cash equivalent collaterals and purchased securities pledge account with applicable variable haircuts. The list of approved stocks for the purpose of margin consideration is provided to the client by the regulator/exchanges/VCPL from time to time.

However, the VCPL can at its sole discretion vary the applicable haircut on the approved stocks from time to time

Client exposure limit vary as the margin components varies. However, VCPL can vary or reduce or impose the exposure limit at anytime without any prior notice to the client. VCPL shall not be responsible for any such variation or reduction or imposition or the clients' inability to route any order through the VCPLs' trading system on account of any such variation or reduction or imposition of limits.

Other factors can also influence the set client exposure limit that may include but not limited to the market condition, SEBI and/or exchange restrictions/limitations on either script-wise or VCPL-wide set limits. Exposure limits can also vary from client to client based variable factors such as financial status and/or residential status and/or risk tolerance of the client. RMS department of the VCPL shall assess and evaluate the risk involved in the transactions of each client on one to one and at any time on case to case basis. If the clients' available margin diminishes, RMS may reset the limit immediately to manage the risk exposure while and in the open market. It is provided that client shall execute his/her trades within the set limit only. If the client wishes to increase the exposure limit, client shall deposit additional fund/margins in the designated form as stated above into the designated account.

Client exposure limit for intraday, carry forward and delivery based transactions may vary from each other. Within the set exposure limit for a client, allocation of the limit may vary based on clients' transactions/position in various categories of stocks and/or in derivatives (futures and options). Defining the categories of the stock is discretionary and shall be communicated to the client from time to time. VCPL may at its sole discretion and without prior notice, prohibit or restrict the clients' ability to place orders or trades in securities due to but not limited to the reason of lack of margin or the order being outside the limits set by VCPL/exchanges/SEBI or any other reason which the VCPL may deem appropriate. Setting up exposure limit doesn't entitle any right to the client and that VCPL at any time without any notice withdraw the set exposure limit. Client shall indemnify and keep indemnified the VCPL against any loss/damage incurred due to such withdrawal of the exposure limit. Any loss thus occurred shall be borne by the client only and client shall clear all his/her/its outstanding debit balance along with any other applicable charges.

c) Applicable Brokerage Rates

The VCPL imposes the brokerage on the clients' transactions in various segments as provided by the SEBI/Exchanges/ any other competent authority from time to time. However, the maximum brokerage chargeable for each transactions in the

- Cash/Capital Market segment shall be 2.5% of the transaction value excluding statutory levies. however in capital market segment VCPL can charge brokerage upto 0.25 paisa per share if the market value of the scrip is less than Rs. 10/-.
- Futures contracts in derivative segment shall be 2.5% of the transaction value excluding statutory levies.
- Option contracts in derivative segment shall be 2.5% of the premium amount or Rs 100/- per lot whichever is higher.

d) Imposition of penalty/ delayed payment charges/ other charges

Clients are required to settle all their dues on time. If the client fails to settle the amount outstanding/overdue towards his/her trading or any other reasons, VCPL would impose penalty/delayed payment charges on the account(s) of the client, at such rates as may be determined by the VCPL from time to time. Not exceeding 2% per month.

In addition, it is provided that client shall pay to the VCPL the applicable brokerage charges, all statutory charges applied by exchanges due to clients' trading/dealing in securities, penalty on clients' material default in pay-in obligation or any penalty imposed on VCPL by exchanges/SEBI/any other competent authority in relation to clients' default/action/dealing/ trading, any incidental charges such as postage, courier etc as they apply to the clients' account from time to time and any other charges/penalty as imposed to the clients' account by the VCPL due to the clients' non-performance/ misrepresentation of any facts/evidence/ commitment provided in any manner whatsoever or by any other reason as may be provided by the VCPL from time to time.

Clients shall abide by the Client Registration Kit executed with VCPL. VCPL at any time may impose penalty/fines on the client account if the clients' orders/trades/any action breaches the terms of this agreement. Also if the clients' any other action breaches any statutory laws/ rules/Bylaws/ regulations of the exchanges/SEBI orders and/or circulars/orders of any other competent authority in force at time, VCPL may impose fines/penalties as it deem fit. However, due to the clients' action if the VCPL has been imposed any fine/penalty by any such authority the same shall be borne exclusively by the client.

e) The right to sell clients' securities or close clients' positions, without giving notice to the client, on account of non-payments of clients' dues

The VCPL shall have the right to sell client's securities purchased which are lying in VCPLs demat account, or close out clients' positions without giving prior notice to the client to meet clients' pay-in obligation and/or delay or failure to meet the margin obligations towards his/her/its trading and/or any other reason as may be decided by the VCPL. Client would be responsible to monitor its open position and review continuously the valuation of his/her/its position in the open market. Client is aware that stock market is volatile in nature, at any time, if the clients' open position attracts the additional margin client shall immediate, whether or not communicated by any way or received any margin call, deposit in the designated account an additional fund/approved securities in the form as may be decided by the VCPL. In case, if the client fails to provide the additional margin requirement, RMS can at its own risk perception at any time without any prior information to the client sell the clients' securities or close the clients' position at market rate/price or at such rate as the VCPL deem fit. The losses/deficit if any on account of such square off by the VCPL shall be borne exclusively by the client alone.

If the client gives orders/trades in the anticipation of the required securities being available subsequently for pay in through anticipated payout from the exchange or through borrowings or any off market delivery(s) and if such anticipated availability does not materialize in actual availability of securities/funds for pay in for any reason whatsoever including but not limited to any delays/shortages at the exchange or VCPL level/ non release of margin by the VCPL or by any other reasons, the loss which may occur to the client as a consequences of such shortages in any manner such as on account of auctions/square off/closing outs or any other manners as the case may be, shall be solely to the account of the client. The VCPL shall not be responsible for the same in any form or manner whatsoever.

Client shall be responsible for the timely availability of funds/securities in the designated form and manner at designated time and place in designated account, for meeting his/her/its pay-in obligation.

Margin thus provided in the form of funds/securities by the client to the VCPL are subject to the actual realization in the designated account of the VCPL. In any case if the provided fund instruments/instruction slips undergoes any clarification/verification than in such case client alone shall be responsible for any loss/

damage/ square off/auctions/imposition of penalty or any other penalty as applied due to any delay/rejection of the instruments by the competent authority/banks/depository participants/SEBI/Exchanges/VCPL.

Client is aware and understands that if the such selling of shares is not enough to recover any dues/debit balance in the clients' account, Stock broker can legally send the notice to the client and ask to clear the outstanding balance to avoid any legal action by the competent court of law.

f) Shortage in obligations arising out of internal netting of trades

VCPL as a member of the exchange delivers/receives securities to/from the exchange on net obligation basis in respect of a settlement. In such a process, if a client, who has sold securities, short delivers the securities, which are to be delivered to another client of VCPL, auction is not possible as positions are internal and hence it is treated as internal shortage of securities. VCPL has an internal shortage closeout policy.

So as per our internal policy, close out price in case of Internal Shortages of a symbol would be determined as below:

Any type of costs, risk, loss occurring as a result of treatment of internal shortage by VCPL shall be solely on account of client and VCPL shall not be responsible for the same. In the event a client fails to deliver to VCPL any securities that have been sold by the client to meet the sale obligation by the stipulated pay-in-time or informs VCPL of the shortage earlier, VCPL shall be entitled to obtain such securities to enable VCPL to deliver securities to the client who has purchased these securities.

If for any reason, obtaining such securities is not possible, seller delivering short shares (i.e. client failing to deliver shares against sell transactions) would be debited at the closing rate (as per bhav copy) on T+1 day or Auction day on Exchange plus additional 1%

The client on the buy side would be credited with the same amount which was debited to the defaulting (i.e. selling) client as computed above. The provisional entry, if any, debited to the short delivering client (i.e. seller) would get reversed on T+1 day.

This policy would be applicable in case of corporate action also, where the scrip is suspended or not traded in further.

g) Conditions under which a client may not be allowed to take further position or the broker may close the existing position of a client

The VCPL may not allow a client to take further position or may close the existing position of a client at any time without any prior notice to the client if they fail to meet the required margin in time. VCPL may prohibit or restrict the clients' ability to place orders or trades in securities or it may subject any order placed by the client to a review before its entry into the trading systems and may refuse to execute/allow execution of orders due to but not limited to the reasons of

- Lack of margin
- Securities or the order being for securities which are not in the permitted list of the VCPL/exchanges/SEBI
- The order being outside the limits set by the VCPL/exchanges/SEBI
- Delay in meeting pay in obligation of funds/securities
- Breach of member client agreement/rules, Bylaws and regulations of the exchanges and/or SEBI
- Non availability/disown/default in the committed fund instruments/securities instruction slips deposited/provided for margin consideration
- Non clearance of debit balance in the trading account accumulated over five (5) days from the date of settlement.
- Client being squared off and have not provided fresh margin to place new orders
- Fail to meet mark to market losses in his/her/its open positions in various segments of exchanges
- Breach of market wide and/or client-wise permitted position limit
- Any other reasons as may be provided by the VCPL from time to time.

h) Temporary suspending or closing a client's account.

VCPL shall have right to suspend or close the client's account at its own discretion without any prior notice to the client if at any point of time the VCPL observe the followings.

• Clients' breach of any of the terms of member client agreement/ rules, Bylaws and regulations of the exchanges and/or SEBI directives.

- Clients' involvement in suspicious transactions, price manipulation of the securities, matching of trades and/or money laundering.
- Client has not traded in his/her/its account for last 24 months.
- Clients' failure to complete the KYC due diligence.
- Delivery failure of important communication from the VCPL such as contract notes, statement of account, statement of holding etc. sent to the client at its registered correspondence address, email id, mobile no. as per the record
- Under any unpleasant event such as death/disablement as reported to the VCPL
- Client restricted/ prohibited to deal in the securities by the SEBI/ Exchanges
- Clients' inability to provide important financial/ non-financial documents / any such mandatory document as may be required by VCPL from time to time and/or as directed by the exchanges/SEBI to maintain such document in the records of the VCPL.

Client can also request to the VCPL at any time in writing with atleast 15 days in advance for temporary suspension/ closure of his/her/its account. After suspension of the account, client can't place any order/trade through the trading system of the VCPL.

From the date of closure of the client account, VCPL shall be free from any/all liabilities/ obligations towards the client for any reason whatsoever and the client indemnify and keep indemnified the VCPL from any such liabilities/loss/damage/ suit/ proceedings arising from or in respect of transactions entered into prior to such closure / suspension.

If the client wishes to reactivate his/her/its account requested temporarily to be suspended by the client, client shall fulfill the KYC norms of the VCPL and submit the necessary details to the VCPL.

After closing of the account and upon request of the client, all assets (funds/securities) will be returned, after the necessary adjustment/settlement of his/her/its dues if any, to his/her/its designated bank account/depository as per the information provided by the client to the VCPL.

Deregistering a client

VCPL at its sole discretion at any time without any notice to the client terminate the member client agreement with immediate effect and deregister the client in any of the following circumstances:

- If the action of the client are prima facie illegal/improper or such as to suspicious transaction or manipulate the price of any securities or facilitating money laundering/terrorist financing or disturb the normal/proper functioning of the market, either alone or in conjunction with others.
- If the client is in breach of any of the term, condition or covenant of member client agreement or any other agreement executed with the stock broker or is in breach of any rules, Bylaws and regulations of the exchanges or SEBI directives or appears in the list SEBIs' debarred entity.
- If there is any commencement of a legal process against the client under any law in force
- On the death/lunacy or other disability of the client.
- If the client has been declared/filed its bankruptcy or insolvency
- If the client, him/her/itself admitted its inability to pay his/her/its dues towards his/her/its obligations.
- Before deregistering the client, VCPL shall have right to settle any dues/debit balance in the clients' account.
- Either party can terminate the agreement between the parties upon written notice of atleast 30 days in advance. Any such termination by either party or deregistration by the VCPL shall not affect any/all rights, obligations and liabilities of the parties arising out or in respect of the transactions entered/executed into prior to termination/deregistration.

j) Client Acceptance and Acknowledgment:

I/we have fully read the clauses and contents of these policies and procedures of VCPL. and do here by acknowledge the same and give my/our clear consent to you not to question the validity, enforceability and applicability of any provisions of this policy and procedure document under any circumstances whatsoever.

I/we understand and acknowledge that these policies and procedures are subject to change/amend and thus any change(s)/amendment(s) shall be incorporated in the document and placed/updated on the website www.vardhamancapital.co.in from time to time by the VCPL.

	I/we here by acknowledge and confirm my/our unconditional acceptance to follow these policies and procedures, any subsequent amendments made there to and the member client agreement and any other agreement(s) executed between the parties. I/we acknowledge that a copy of this document is available on the website www.vardhamancapital.co.in and I/we are referred to the website contents and update regularly myself/ourselves for any amendment made to this document from time to time.							
	I/we acknowledge that in case of any dispute/ grievance arising in dealing between me/us and the VCPL, this policy and procedure document shall be referred compulsorily before any legal suit/ court proceeding/arbitration or to any other adjudicating authority.							
							nade available to mo the Help Desk at +9:	e/us on my/our request 1-33-68202020.
	∠ Signati	ure of Clier	nt				D D	M M Y Y Y Y
-	TARIFF SHE	ETS/BRO	KERAGE SLA	AΒ				
	Segment		ivery		are Off		Remarks	Risk Category
	Cash	(%)	(Paisa)	(%)	(Paisa)			
	Futures							
	Options							
	Commodity							
	Options Commodity (The above rates are exclusive of STT/CTT, GST, Stamp Duty, SEBI Fee, Transaction Charges & other charges, if any, which will be separately charged as per applicable rates from time to time.) Signature of the Client							
						Æ	Signature of the	Client
1	FOR OFFIC	E USE ON	T T	nation Co	de of the Empl	ovee	Signature	Date
	Documents	Verified	rtume, besig	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	ue or the Empi	o y c c	Signature	Dute
	with Origina						✓	
	Client Interv	viewed by					✓	
	In-Person Ve Done by	erification					√	
	We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. We have also given/sent him a copy of 'Rights and Obligations' document (s), Risk Disclosure Document (RDD), Guidance Note and made him aware of the contents therein. We have given/sent him a copy of all the KYC documents. We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. We also undertake that any change in the 'Rights and Obligations' and Risk Disclosure Document (RDD) would be made available on our website for the information of the clients.							
		Nam	e of the Auth	norised Sig	natory			
	✓ Signatur	e of the A	uthorised Sig	gnatory	D M M Y	Y	Seal/Stamp	of the Intermediary
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DEMAT TAR	NEE CHEET						
	KIFF SHEET					DP I	D: IN3032
DESC	CRIPTION	PLATINUM - LIFE TIME	PLATINUM	- AMC	STANDARD - AMC	BSDA - I	BSDA - I
Annual Maintenance Charges		Rs. 2000	Rs. 400 F		Rs. 600 P.A.	NIL	Rs. 100 P .
AMC - CORPORAT		NIL	RS. 1500		RS. 2000 P.A.		
							I.A.
Advance Deposit		Rs. 1500	Rs. 150	iu .	Rs. 2000	Rs. 1500	Rs. 150
Transaction &	Interdepository cha	irges					
Debit to VCPL CM		Rs. 20	Rs. 15		Rs. 20		
Debit to CM A/C	(DP VCPL)	Rs. 20	Rs. 20)	Rs. 20		F1 AT
Outside VCPL DP	СМ	0.03% OR Rs. 25 whichever is higher			Rs. 20	FLAT	
Off Market/Interd	depository Charges	0.03% C	R Rs. 25 whi	chever	is higher		
Pledge Creation	50 per transaction O	R 0.02% whichever is hig	her	Margin	n Pledge	Rs. 20 per ISIN	
Pledge Closure	50 per transaction O	R 0.02% whichever is hig	her	Margii	n Pledge Closure	Rs. 20 per ISIN	
Pledge Invocation	50 per transaction O	R 0.02% whichever is hig	her	Margi	Pledge Invocation	Rs. 20 per ISIN	
Other Charges							
Demar Request		Rs. 20 n	er certificate	Min F	Rs. 200 request		
Demat/Remat Re	iection	-	per rejection	,			
Remat Request	,		-	SIM	n Rs. 200 per request		
Additional Bookle		-			ier charges, if any (at		
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Redemption of M							
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	ion Statement Charges	Rs. 10 per page	: UI NS. 100, V	viiiciie\	rei is iligher	KS. 25 FL	AI
Categories Define	ed						
PLATINUM SCHEN	ME - LIFE TIME / AMC		APPLICAB	LE TO 1	RADING CLIENTS OF	VCPL	
STANDARD SCHE	ME		NON	TRAD	NG CLIENTS OF VCPL		
 Individuals have account where The individual s 	ing any other demat ac they are sole or first ho shall have only one BSD	count/s where they are older. A in his/her name acros	not the first h	older s ries.	-		single demat
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Signature(s) of Account Holder(s)

VOLUNTARY DOCUMENTS & FORMATS [THE DOCUMENTS ARE VOLUNTARY IN NATURE & WILL BE MADE AVAILABLE IF CLIENTS OPTS FOR THEIR OWN CONVENIENCE]

FATCA / CRS DECLARATION FOR INDIVIDUAL					
I) A II) I III)	If you ticked "Yes" in point no II above, plea		atory]		
Pla	ace/ City of Birth				
Co	ountry of Birth				
	TIN or				
i)	Functional equivalent (please specify name & Number) Country of Issue				
	TIN or				
ii)	Functional equivalent (please specify name & Number)				
	Country of Issue				
	TIN or				
iii)	Functional equivalent (please specify name & Number)				
	Country of Issue				
iii. iv.	FATCA/CRS. VCPL is not able to offer any tax advice advisor for any tax questions. I agree to submit a new form within 30 days if any I agree that as may be required by domestic regula details to CBDT or close or suspend my account. I certify that I provide the information on this form	on for the purpose of determining my status in come on FATCA/CRS or its impact. I shall seek advice from information or certification on this form becomes intors/tax authorities VCPL may also be required to into and to the best of my knowledge and belief the certain forms.	m professional tax incorrect. inform reportable ertification is true, correct,		
	and complete including the taxpayer identification	number / functional equivalent number of the app	olicant.		
	Name	Signature	Date		
Not	tes:				
2. I	any State thereof; c) A trust if: (i) a court within the United States o judgments concerning substantially all issues reg the authority to control all substantial decisions d) An estate of a decedent who was a citizen or runctional Equivalent of TIN includes the following A social security/insurance number, citizen/perso population registration number, Alien card number	nited States of America or under the laws of the Unifer America would have authority under applicable larding administration of the trust; and (ii) one or not the trust; esident of the United States of America. 3: 3: 3: 3: 3: 3: 3: 3: 3: 3: 3: 3: 3:	law to render orders or more U.S. persons have cation number, a resident /		
det	· ·	onot have Taxpayer Identification Number/function reason for the same and sign the self-certification ATCA/CRS Declaration.			
7.1		DUAL CLIENTS, PLEASE ATTACH FATCA, CI	RS &		
		FRSHIP (URO) SELECTRIFICATION FOR			

18

VOLUNTARY DOCUMENT

VARDHAMAN CAPITAL PRIVATE LIMITED

AUTHORITY LETTER FOR RUNNING ACCOUNT	VOLUNTARY
То,	
VARDHAMAN CAPITAL PRIVATE LIMITED	D D M M Y Y Y Y
25 Swallow Lane, Wardley House, 2nd Floor, Kolkata - 700001	
Dear Sir/Madam,	
I/We as the Client of Vardhaman Capital Private Limited for trading in Contions of NSE/BSE do hereby authorize VCPL (Member: NSE, BSE) for the	following:
 In order to facilitate my/our smooth trading operations, to maintain settlement to settlement clearance of funds/securities due to me/us informed about the SEBI circular no. MIRSD/SE/Cir-19/2009 da amendments thereafter in this regard. I/we have read and under authorize VCPL to maintain a running account in all segments across the 	In this regard, I/we have been ated December 3, 2009 and rstood the same. I/we hereby
 The payout of funds/securities may be retained by VCPL and no intersuch retention. I/we further agree that VCPL shall not be liable for any consequential, incidental, special or exemplary damages, caused by reunder this agreement or otherwise. 	claim of loss or profit or for any
3. I/we may be trading in derivatives/commodity segments and/or cash s hence have obligations under various accounts with VCPL. In this regar- act at its discretion of adjusting any credit balance under my/our vario any account across segments/exchanges, without taking any further in agree/understand that there shall be no inter-client adjustment for running account.	d I/we hereby authorize VCPL to bus accounts against the debit in estruction from me/us. I/we also
4. I/we hereby further authorize VCPL to hold and retain the said funds/s same is made by me/us, subject to the condition that sufficient margin trading, across the exchange and/or across the segments of the exchange	in respect of my/our continued
5. I/we authorize VCPL to set off a part or whole of the margin deposited dues, by appropriating relevant amount of funds or by sale of securities.	s which form part of margin.
6. The instructions/authorizations made by me/us as above revoked/withdrawn at anytime by giving a notice in writing by me/us.	
7. I/we agree that (a) in respect of derivatives market transactions, securities/funds on settlement date to take care of any margin ob calculated in the manner specified by the exchange, (b) in respect of may retain entire pay-in obligations of funds & securities due from me/	ligation arising in next 5 days, cash market transactions, VCPL
 I/We hereby authorize VCPL to pledge my/our Securities / Funds (Clearing Corporation(s) of the Exchange(s) towards my/our margin/ex 	· · · · · · · · · · · · · · · · · · ·
 I/we authorize VCPL to transfer the funds/securities lying in my/our c the request if the same are lying with VCPL and within three working da are lying with the Clearing Corporation(s) of the Exchange(s). 	
10. I/We agree that fund given towards collaterals / margins in form o Deposit Receipts (FDR's) may not be periodically settled.	f Bank Guarantee (BG) / Fixed
11. THE ACTUAL SETTLEMENT OF FUNDS AND SECURITIES SHALL BE DONI	
☐ A CALENDAR QUARTER ☐ A CALENDER MONTH (STRIKE OUT)	•
Further I/We confirm that I/we will bring to the notice of VCPL any disp of account or settlement so made in writing within 7 workings days fro securities or settlement of account or statement related to it, as the c office.	m the date of receipts of funds /
12. I/WE HEREBY AUTHORIZE VCPL TO SEND ME/US RIGHT OBLIGATION DOCUMENTS DO'S & DON'TS IN ELECTRONIC MODE	I, UNIFORM, RISK DISCLOSURE
	Signature of the Client

In addition to the 'Rights and Obligations' document, the Client and VCPL have agreed to the following terms and conditions to ensure smooth functioning of the operations in the course of trading/investing in securities by the Client through VCPL.

1. Digitally signed Contract Notes/Statement of Accounts/RMS Policy

- The Client agrees that VCPL will not provide him/her Order Confirmation/Modification/Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. He/She hereby requests VCPL to kindly accept his/her mandate holder's verbal orders/instruction in person over phone and execute the same. He/She shall get the required details from the contract notes issued by VCPL. The Client understands the risk associated with placement of verbal orders and accepts the same. He/She will not disown orders under the piea that the same was not placed by him/her.
- The Client agrees that he/she has been made aware/read, understood and accepted the prevailing RMS Policy/all other policies/procedures/information/instructions of VCPL and further shall keep himself/herself, updated of any future RMS policy, all other policies / procedures / information / instructions that may be issued from time to time from VCPL. The Client shall also access the RMS Policy, all other policies / procedures / information / instructions from the VCPL website from time to time. VCPL may send any necessary communications to the client on their registered email address. VCPL may modify the terms of any additional terms to reflect changes to the law or changes to our policies/procedures etc. The client agrees to look at the terms regularly & access their account regularly. Changes addressing new functions for policies/procedures will be reflected in the Client's account.

2. Regulatory & Other Compliances by clients

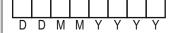
- The Client hereby agrees and understand that in case of any non-compliance and/or default by the Client such as cheque bouncing, client code modification, short margin, UCC violation, price rigging or for any other matter as may be decided by VCPL from time to time, without prejudice to VCPL's other rights, VCPL may levy charges/penalty(ies) on the Client and debit such charges/penalty(ies) in the client account which has been imposed by Regulatory Authorities.
- VCPL has placed on its website and also made available to the Client on the login into his trading
 account a detailed document explaining to the Client the features, risk, responsibilities, obligations
 and liabilities associated with securities trading among others through wireless
 technology/internet/smart order routing. The Client agrees to go through the above terms and
 conditions which shall be deemed to be sufficient notice by VCPL.
- The Client agrees that a modification/addition/deletion to the terms & conditions on the website shall amount to a valid modification of the present.

3. Client Operations

- The Client agrees that VCPL will not be responsible for partial execution of orders placed by the Client. The Client also agrees that all orders placed by him shall be treated as order for that particular session only. In case the Client wants the orders to be carry forward or extended for the day or more number of days he shall communicate the same to VCPL.
- The Client is aware that the Client is required to make full payment to VCPL for the execution of the
 contract on the day of execution of trade. The Client explicitly agrees and authorizes VCPL to
 suspend/deactivate his/her accounts for non payment of the above stated dues and such other
 amounts as may be due from the Client from time to time without giving any further notice or
 intimation to the Client.
- The Client hereby confirms that he/she will never sublet/allow any third party access to the trading terminal provided to him/her by VCPL, nor indulge in/facilitate any unauthorized trading under any circumstances.
- Adjustment of account between exchanges and segments: The Client hereby informs VCPL that he/she have executed/intend to execute an agreement with VCPL for trading on different exchanges, i.e. NSE, BSE in cash and derivative segment. The Client requests VCPL to transfer, make adjustments and/or set off a part or whole of the securities placed as margin and/or any surplus funds in any of the accounts maintained with VCPL against the outstanding dues payable if any, by the client account(s) maintained with VCPL. VCPL will have lien on the credit balance in any of the client account for meeting the debit or obligations in progress in any other of clients account. Any entries passed by VCPL in accordance with this authorization will be binding on the Client.

4. Authorization to debit Demat Account operating charges and account opening charges

• The Client authorises VCPL to debit his/her Trading Account for all the charges relating to its Demat Account. The Client understands that the said charges in the Demat Account are incurred from time to time and this authorisation of transferring the same to clients trading account shall remain valid from time to time. The Client also authorises VCPL to retain shares till the clearance of dues in trading as well as demat account.



• The Client agrees that the charges towards account opening for equity trading and demat account, if any, would be debited to the ledger account of the Client after opening of account. The entry reflected in the ledger account of the Client would be sufficient acknowledgement of the receipt of account opening charges. The Client agrees and understands that no separate receipt will be issued in this regard.

5. Trade Confirmation to Client

- VCPL at its discretion shall also send Trade Confirmations/Margin Calls through SMS at the mobile number provided by the Client. It shall be the duty of the client to ensure that the mobile number provided in the client registration documents is correct and in operation and/or in working condition at all times. In case the Client has provided and/or incorporated different mobile number in the KYC, VCPL may send notice/communication on any of the mobile number, at its discretion. The notice/information/communication through SMS sent to the client shall be deemed to have been received by the client and VCPL shall not be under any obligation to confirm the authenticity of the person(s) receiving the SMS.
- The Client is informed that he/she shall update their mobile numbers/email ids with VCPL. This will facilitate them to receive information of their transactions, all debits directly from the Exchange(s)/Depository on their mobile/email at the end of the day.
- VCPL shall not be liable or responsible for any statement received from frauds or impostors and their subsequent consequences.

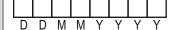
6. Payment of Margins

- For the purpose of the voluntary clauses the term "Dues of Client" shall include the amount of monies payable by the Client including but not limited to, the purchase price of the securities, Brokerage, Margin money, Service Tax, Turnover Tax, Auction debt and charges, Service charges, Penalties, Late payment charges, Transaction charges, KRA charges, cheque bounce charges, Penalties for non compliance, Shortfall in margin etc. That may be levied from time to time in the client account as per Regulatory norms.
- All payment for securities bought shall be made out by way of cheque/fund transfer in favour of "Vardhaman Capital Private Limited". The Client agrees to make such fund transfer from the bank account, the details of which are provided by the client to VCPL in the Client Registration Form or in any other document. At the time of settlement of dues of the Client, VCPL shall draw an account payee cheque / fund transfer in favour of Client which may be payable to a specified bank account of the Client. The Client undertakes that VCPL shall execute the orders only after proceeds of the Margin Account are realized and credited to the bank account of VCPL. Any payment made by the Client in the form of the account payee cheque shall be considered as Funds only upon the realization of the same. Margin consideration received in the form of bank instrument will be added at the sole discretion of VCPL after taking the exchange prescribed rule and regulations into consideration from time to time.
- The Client hereby agrees to make payment against debit balance and margin payment as demanded by VCPL in the form of Funds or securities in proportion as prescribed by VCPL or exchange, failing which the VCPL can square up all or any outstanding position of sale and/or purchase, in any segment and/or in Exchange, without any notice to the Client.

Margin in the form of Securities: VCPL, in its absolute discretion will decide the eligible securities, which could be deposited by Client for meeting current or future margin/deposit obligations. VCPL would be free to continuously review the eligibility of securities already deposited by the Client. Securities accepted as margin/deposit, shall be valued as per valuation norms decided by VCPL from time to time. The Client authorizes VCPL to sell their securities to recover any outstanding dues including ledger debits/DP debits/margin requirements in Cash and F&O segment of the Exchanges. Collection of margin in the form of securities will be as per the procedure prescribed by the regulators from time to time.

Lien: The Client agrees that all monies, securities or other property that may be held by VCPL on the client's account shall be held by VCPL at the sole risk and cost of the Client and such monies, securities or other property as permitted by the Exchange(s) shall be held subject to general lien for the discharge of the clients obligation to VCPL under the voluntary clauses irrespective of whether such obligations of the Client is disputed by the Client. VCPL shall be under no obligations to release such monies, securities or other property until the Client has discharged its entire obligation in full to VCPL under the voluntary clause to the satisfaction of VCPL.

• The Client authorise VCPL to use its discretion to close out any or all of the contracts in Capital Market, Equity Derivative segment or any such segment held in the clients account with VCPL for the protection of VCPL, in case of any default by the Client. The Client agrees to reimburse any or all such incidental expenses incurred by VCPL.



- The Client agrees that in case of any payout (funds/securities) which is yet to be received by him/her
 for the previous settlement(s), VCPL would be entitled to adjust the same against the current or
 subsequent settlement obligations or other charges or under the circumstances when client
 defaults.
- Set-off. The Client agrees that VCPL may set-off his/her credit balance available in his/her ledger account on any one Exchange/Segment against the debit balance in other Exchange/Segment.
- The Client does hereby confirm that he/she shall not deposit any third party cheque towards meeting VCPL's obligation for the trade executed by him and hence shall not hold VCPL against any such credit.

7. Communication with Client

- The Client agrees that any notice or communication served on the client under the voluntary clauses shall be valid and binding on him/her and shall be deemed to be duly served, if conveyed in writing through letter, Fax or by personal delivery duly acknowledged by the other party/Courier at the registered post to the address of the client mentioned here in above or the last known address, or if electronically delivered at any one of the email id intimated by the client, or by publishing the same in the prominent daily newspaper where the registered/last known business/residential address of the client is situated or if conveyed over telephone/fax on the last number or if a notice is pasted at the door of the registered address or the last known address of the Client.
- The Client agrees that he will sent any communication or notice, to VCPL in writing through Letter or by personal delivery duly acknowledged by VCPL or by registered post sent at the registered address of VCPL.
- The Client agrees that the primary mode of communication by VCPL to the Client will be through emalis. The Client, therefore, agrees to check his/her e-mail account on a regular basis. (Applicable for ECN clients only).

8. Transfer of Securities

- The Client hereby agrees to ensure that before selling any shares, he has the same in hand. Any loss
 arising out of auction/closed due to short selling of shares will be borne by the Client. The Client also
 agrees to bear any loss arising out of auctions due to incomplete instruction, illegible instructions,
 unclear instructions and instructions not received on time.
- Any queries related to securities delivered by VCPL would be brought to the notice VCPL within seven days of the receipt of such securities in the Client's Demat account. Otherwise it can be presumed that the Client has checked all the securities received by me/us and that are good as per the prevailing norms.

9. Order recording of Client conversation

• The Client is aware that VCPL/Authorised person may record the conversation while providing. Trade Confirmation or otherwise between the Client or the Client's representative and VCPL/Authorised person, either personally or over the phone, Authorised person and the Client hereby specifically permits VCPL to do so. Such electronic recording may be relied upon by VCPL as and when required to resolve disputes in connection with the trading transaction or otherwise.

10. Severance

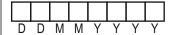
• In case any one or more of the provisions contained in the voluntary clauses becomes invalid, illegal or unenforceable in any respect under any law, the validity, legality, and enforceability of the remaining provisions contained herein shall not in any way be affected or impaired thereto.

11. Force Majeure

• The Client agrees not to hold VCPL liable or responsible for any delay or default in performance of VCPL's obligations due to contingencies beyond VCPL's control, such as Fire, Flood, Civic commotion, Earthquake, Riots, Wars, Strikes, Failure of systems, failure of internet links, government/regulatory actions or any other contingencies beyond VCPL's control.

12. Indemnification

• The Client undertake that he/she shall not hold VCPL, its Directors or Officers/Responsible towards his/her/their acceptance of any lucrative offer of fixed return on investment made by any of their representative (verbal/written) without the knowledge of VCPL and the onus to bring the same to the notice of VCPL lies with the Client.



Trading on all Exchanges is in electronic mode, based on connectivity through various media such as VSAT, leased line, ISDN, Modem and VPN, as well as through a combination of technologies and computer systems to place and route orders. The Client understands that there exists a possibility of communication failure or system problem or slow/delayed response from systems or a trading halt, or any such other problem/glitch which may result in VCPL not being able to establish/provide access to the trading system/network, which may be beyond VCPL's control and may result in delays in processing of buy/sell orders either in part or in full. The Client shall be fully liable and responsible for any such problem/fault and shall not make any claims on VCPL for the same.

13. General

- The Client understand that information about him/her and their transactions may be reported by VCPL to FIU/concerned authorities/regulators without any intimation to the Client and have no objection to the same.
- The Client hereby undertakes to extend full co-operation to VCPL in their endeavour towards compliance with Anti-Money laundering norms, and to not hold VCPL legally liable in any manner whatsoever towards the same.
- In case of any delayed payment by the Client towards any obligations on client's part, VCPL is authorized to debit Client's Trading account with the delayed payment charges which shall be levied by VCPL subject to a maximum of 2% per month.
- The Client does hereby confirm that the extra levy of charges (as per the prevailing rates) on the physical issuance of contract note shall not construe as a matter of dispute in the future.
- All references to the specific quantity/rate/fee, if any, are subjected to change from time to time, as so agreed to in writing between the parties.
- The Client has clearly read and understood all the points mentioned in this Letter of Understanding/Authority. All the instructions are given by the client's own free will. In case Client wishes to withdraw any of the above mentioned terms, he/she shall inform VCPL in writing and acknowledge the same at least one week in advance from the date of withdrawal.
- The Client hereby confirms that he/She is not a Stock Broker or Sub-broker or Authorised Person or Remieser of any Stock Exchange(s). Further, in the event wishes to obtain any membership of any Stock Exchange(s) or wishes to become Sub-broker or Authorised Person or Remieser of any Trading member in any Stock Exchange then the Client undertakes to obtain No-objection certificate from VCPL prior to making an application to the Exchange(s).

Signature of the Client

Client	Name of the Client	of the Client Place Date	
Signed for and on behalf of	Signature	Name & Designation	
Stock Broker	For Vardhaman Capital Private Limited		
	✓ Authorised Signatory		

VOLUNTARY

CONSENT LETTER FOR ELECTRONIC COMMUNICATION

Date : _____

AUTHORISATION TO SEND CONTRACT NOTE, STATEMENT OF FUND & SECURITIES MARGIN ETC. ELECTRONICALLY

I/We authorise **Vardhaman Capital Pvt. Ltd.** ("VCPL") to issue the contract notes, statement of funds & securities, circulars amendments, client registration documents, DP bill, transaction statements, holding & financial statements and such other documents in electronic mode in lieu of physical mode on my/our updated E-mail ID, which is as follows:

E-mail Id:		
Alternative E-mail Id:		

Any changes in the E-mail ID shall be communicated by me/us in writing to VCPL Further, to my/our authority to VCPL to issue Contract notes in digital format. I/we authorise not to provide me/us Order Confirmation / Modification / Cancellation slips and Trade Confirmation Slips to avoid unnecessary paper work. I/We shall get the required details from the Contract Notes issued to me/us.

I/We authorise VCPL to send periodic statements of funds and securities & margin statement etc. In digital format at my/our Email address unless I/we request in writing to send them in paper form. I/We understand and agree that any request to provide paper for of documents shall be charged to me/us by VCPL as per the prevailing rates by VCPL.

I/We hereby acknowledge that all the documents sent on the above E-mail ID by VCPL will be considered as having delivered to me/us once the email leaves the VCPL email server and no bounced mail notification is received by VCPL.

I/We agree that the log reports of VCPL'S dispatching software shall be conclusive proof of dispatch of all statements or bills to me/us and such dispatch shall be deemed to mean receipt by me/us and shall not be disputed by me/us on account of any non-receipt/delayed receipt for any reason whatsoever. Except in the cases where VCPL receives bounce notification.

I/We further agree that in the absence of communication from my/our end regarding non-receipt of documents through e-mail, or notification of any discrepancy within 2 days from the date of issuance of digital contract note through e-mail, VCPL may construe that there is a deemed acknowledgement of the document(s) sent to client electronically.

In case I/we wish to withdraw this facility, I/we shall inform VCPL in writing at least one week in advance from the date of withdrawal.

Thanking you.

Yours Faithfully,

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Name of account ho	lder			
Mobile Num	ber			
Email ID				
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(spouse, dependent	children and de	pendent parents)	Please Spe	cify Relation
Signature of account	holder	Ø		
Name of account ho	lder			
		Format of Request fo	r 2 nd Holder	
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ELECTRONIC PAYOUT REQU	EST VOLUNTARY
To, VARDHAMAN CAPITAL PRIVATE LIMITED 25 Swallow Lane, Wardley House, 2nd Floor, Kolkata - 700002	
Dear Sir / Madam, I/We furnish below the details of my Bank Account to enaborate of securities / Credit Balances in my account.	ole the transfer of funds payable to me/us against
Bank:	Branch :
Type of Account : Savings Current	MICR No. :
☐ NRE ☐ NRO ☐ Others (Specify)	A/c. No. :
Copy of cheque must be enclosed.	IFSC CODE (RTGS/NEFT)
Name of Joint Account Holder (if any) :	
 I/We hereby declare that the particulars mentioned a request VCPL to transfer the funds against payments durantil any other instruction is given by me/us. It is also a provided to me/us by VCPL at my request and it can be d at any point of time, as and when required without prior The transfer of funds to my/our bank account with conditions as per my declaration to VCPL. If the transaction is delayed or not effected at all for it me/us I/we would not hold VCPL responsible and her there from. All charges levied by Bank for the said facility shall be 	e to me into the above mentioned Bank Account agreed by me/us that the above facility is being iscontinued/withdrawn by VCPL at its discretion notice. Il continue to be governed by the terms and incomplete or incorrect information provided by the by indemnify VCPL from any losses or damages
5. All charges levied by bank for the salu facility shall be	<u></u>
CONSENT LETTER FOR AUTO SQUARE OFF	Signature of the Client VOLUNTARY
 I/We hereby agree that, Failure to produce the margin in the trading account discretion of the RMS team. Intraday products (order type) square off timings: 1. For Online trading platform web based and AP/dealers Segment: Equity/Cash Order type: Intraday / MIS Auto Square off Time: 3:15 PM. All Intraday / Pending Orders will be automatically square After 3.15 pm only CNC / Delivery order will be accepted 2. For offline trading platform: at the discretion of the RN Note: Intraday square off timings can change based on the (VCPL) Any open positions can be squared off at the discretion are short of exchange specified margins. In such cases, squared off. During times of extreme volatility, the lost account before the position is squared off. All resulting changes. 	ed off / cancelled by your RMS (VCPL) by the system. IS team of VCPL. ne discretion of the risk management department on of RMS desk if the funds available in my account there will be no margin call before the position is sould be more than the funds available in my
offs will have to be borne by me / us. • Additional charges of ₹25 per executed order for intr by our RMS team.	aday positions squared off before market closing
	i

Annexure A

Most Important Terms and Conditions (MITC)

(For non-custodial settled trading accounts)

- 1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
- 2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
- 3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
- 4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
- 5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
- 6. You will get a contract note from the stock broker within 24 hours of the trade.
- 7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
- 8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, net worth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
- 9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
- 10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such.

Thanking You.
Yours Faithfully,
Signature & Stamp (for Non-individual Client)
Date :
Place:

VARDHAMAN CAPITAL PRIVATE LIMITED

Download Consent Form To, **VARDHAMAN CAPITAL PRIVATE LIMITED** 25 Swallow Lane, Wardley House, 2nd Floor, Kolkata - 700001 Dear Sir/Madam I, S/o / D/o / W/0 give my consent to download my KYC Records from the Central KYC Registry (CKYCR), only for the purpose of verification of my identity and address from the database of CKYCR Registry. i understand that my KYC Record includes my KYC Records /Personal information such as my name, address, date of birth, PAN number etc. Date: Signature of the Client **AUTHORITY LETTER TO DEBIT THE DEMAT ACCOUNT OPERATING CHARGES VOLUNTARY** To, **VARDHAMAN CAPITAL PRIVATE LIMITED** 25 Swallow Lane, Wardley House, 2nd Floor, Kolkata - 700001 _DP Client ID___ Ref. - DP ID Dear Sir / Madam, Sub: Debiting my Trading Account towards depository charges. I / We hereby authorise you to debit my / our Trading Client Code_____For all the charges relating to the above mentioned Demat Account. I / We understand that the said charges in the Demat Account, are incurred time to time and this authorisation of transferring the same to my trading account shall remain valid from time to time. I/We also authorise you to retain my/our shares till the clearance of the dues in the trading as well

as demat account.

In case I/We wish to withdraw this authorisation, I/We shall inform VCPL in writing and acknowledge the same at least one week in advance from the date of withdrawal.

Thanking you,

Yours faithfully,

OPTION FORM FOR ISSUE OF DIS BOOKLET - ANNEXURE F				
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OPTION FORM FOR ISSUE OF DIS BOOKLET - ANNEXURE E VOLUNT	TARY
Date : D D M M Y Y Y Y	
DP ID Client ID	
First Holder Name	
Second Holder Name	
Third Holder Name	
То,	
Vardhaman Capital Pvt.Ltd.	
25, Swallow Lane,	
Wardley House, 2nd Floor,	
Kolkata-700001	
Dear Sir,	
I/We hereby state that: [select one of the option given below]	
Option 1: I/We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening is	my /
our NSDL account though I/ We have issued a Power of Attorney (POA) in favour of Vardhaman Ca	pital E
Private Limited for executing delivery instructions for settling stock exchange trades (Settlement relations)	ated Ξ
transactions) effected through such Clearing Member.	
OR	\begin{align*} \begin{align*} \text{A} \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\
Option 2:	L PR
I/We do not require the Delivery Instruction Slip(DIS)for the time being. However, the Delivery Instruc	tion E
Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.	wan CAPITAL PRIVATE LIMITED
I/Maharahyaalast Ortion 1 🖂 Ortion 2 🖂	Z
I/We hereby select : Option 1 Option 2	A
	DH
	VARDH
First/Sole	







>	ACKNOWLEDGEMENT FOR THE RECEIPT OF DOCUME	NTS					
	From,						
	Client Name :	Client Code :					
	To, VARDHAMAN CAPITAL PRIVATE LIMITED						
	Dear Sir / Madam, Ref.: Acknowledgement for the receipt of documents This is to acknowledge the receipt of a copy of the follow	ving documents viz.,					
	executed • A copy of Account Opening Form - Part II • Rights & Obligations of Stock Brokers, Authorized Persons & Clients (Separately - Part B) • Risk Disclosure Document (Separately - Part B) • Guidance Note (Separately - Part B) • Policies & Procedures • Do's & Don'ts • Policy for voluntary freezing & blocking of account	Tariff Sheets PMLA Declaration Literature on Anti-Money Laundering - Combating Financing of Terrorism (Separately - Part B) A copy of other Voluntary Documents executed & signed by me as a Client. A copy of Application for Demat Account Rights & Obligations of Beneficial Owner & Depository Participant (Separately - Part B) Most important Terms & Conditions Investor charter All other policies					
	Date:	Signature of the Client (with Stamp					
>	ACKNOWLEDGEMENT						
	VARDHAMAN CAPITAL PRIVATE LIMITED						
	Received the application from Mr./Ms./M/sas the sole/first holder along with	and					
	as the second and third holder respectively for opening of a depository account. Your Client ID will be intimated to you shortly. Please quote the DP ID & Client ID allotted to you in all your future correspondence.						
	Date:	✓ Participant Stamp & Signature					
	VARDHAMAN CAPITAL PRIVATE LIMITED						
	Received the application from Mr./Ms./M/s for opening of a trading account. Your Trading Code will be intimated to you shortly. Please quote the Trading code allotted to you in all your future correspondence.						
	Date:	✓ Broker's Stamp & Signature					

Annexure A

Nomination Form

[SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

Vardhaman Capital Pvt. Ltd. 25, Swallow Lane, Wardley House, 2 nd Floor, Kolkata-700001					FORM FOR NOMINATION (To be filled in by individual applying singly or jointly)																									
Da	te	D	D	М	М	Y	Y	7	Y	Y	UCC/	DP ID	Ι	I	N						Client ID									
I/	We wisl	ı to ı	nak	æ a non	ni nat	ion. [[As pe	r de	letails	give	en below	·]																		
N	minatio	on D	eta	ils																										
I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.																														
	minatio minees i				e upt	to th	ree				Detail	s of 1 st N	lomi	ne	е		D	e tai	ls of	2 nd]	Nominee		D)e tai	ils (of 3 rd	^d N	omiı	nee	
1	Name	of tl	he 1	omine	e(s) ((Mr./	'Ms.)																							
2	Share each		of		ually										%						%								9/	ó
	Nomi	1ee		plea	se spe	ecify			Any odd lot after division shall be transferred to the first nominee mentioned in the form.																					
3 Relationship With the Applicant (If Any)																														
4 Address of Nominee(s)																														
City / Place: State & Country:																														
			PIN Code			le																								
5 Mobile / Telephone No. of nominee(s)																														
6	Email ID of nominee(s)																													
7 Nominee Identification details – [Please tick any one of following and provide details of same]																														
Photograph & Signature PAN Aadhaar Saving Bank account no. Demat Account ID																														
Sr. Nos. 8-14 should be filled only if nominee(s) is a minor:																														
8	Date of Birth {in case of minor nominee(s)}			nor																										
9				rdian (nomin			{in																							
10	Addre	ss of	f G	uardiaı	n(s)																									

	City / Place: State & Country:	PIN Code						
11	Mobile / Tek Guardian	ephone no. of						
12	Email ID of Gua	rdian						
13	Relationship of (nominee	Guardian with						
14	Guardian Identif [Please tick any of and provide detail Photograph & S PAN account n Identity Demat Account	one of following ils of same] Signature o. Proof of						
Name(s) of holder(s)								of holder*
Sole / First Holder (Mr./Ms.)								
Second Holder (Mr/Ms.)								
Tł	nird Holder (Mr./Ms	3.)						

Note:

This nomination shall supersede any prior nomination made by the account holder(s), if any.

 $The\ Trading\ Member\ /\ Depository\ Participant\ shall\ provide\ acknowledgement\ of\ the\ nomination\ for\ m\ to\ the\ account\ holder(s)$

^{*} Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Annexure B

Declaration Form for opting out of nomination [SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

То	Da	te	D	D	M	M	Υ	Υ	Υ	Υ
Vardhaman Capital Pvt. Ltd.						<u> </u>				
25, Swallow Lane, Wardley House,										
2 nd Floor, Kolkata-700001										
UCC/DP ID	I	N								
Client ID (only for Demat account)										
Sole/First Holder Name	ı								•	
Second Holder Name										
Third Holder Name										
I / We hereby confirm that I / We do not w	ish t	to appo	int an	y nom	inee(s) in my	//our	tradi	ng / d	emat
account and understand the issues involved	in t	non-ap _l	pointn	nent o	f nomi	nee(s)	and f	urthei	are a	ware
that in case of death of all the account ho	lder	(s), my	/ our	legal	heirs v	vould	need 1	to sub	mit a	ll the
requisite documents / information for clai	min	g of ass	sets he	eld in	my / c	our tra	ding/	dema	at acc	ount,
which may also include documents issued by Court or other such competent authority, based on the										
value of assets held in the trading / demat account.										
Name and Signature of Holder(s)*										
					•					
12					3					

^{*} Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

FOR OFFICE USE ONLY									
	DATE	NAME & SIGNATURE OF THE EMPLOYEE							
KRA									
СКҮС									
FATCA									
Back Office Data Entry									
NSE UCI CM Upload									
NSE UCI SLBM Upload									
NSE UCI FAO Upload									
BSE UCI CM Upload									

KYC DISPATCH ON	BY
(Courier / Hand Delivery) POD No	
Name 9 Cianature of Francisco	
Name & Signature of Employee	••••••